



**COUNTY OF SAN LUIS OBISPO**

**AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR**

**James W. Hamilton, CPA** Auditor-Controller • Treasurer-Tax Collector

**Lydia J. Corr, CPA** Assistant Auditor-Controller • Treasurer-Tax Collector

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## **Whistleblower Hotline Report As of March 31, 2019**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From inception in November 2013 through March 31, 2019 the Whistleblower Hotline received 169 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

6 complaints were received in the Fiscal Year 2018-19 3rd quarter ending March 31, 2019.

The 3<sup>rd</sup> quarter reports received related to the following categories:

- Employee Misconduct (2)
- Theft/Waste/Mismanagement (2)
- Conflict of Interest (1)
- Non-County Operations (1)

The disposition of the five County-related cases includes:

- Three were unsubstantiated
- One was referred to the Department Head
- One is currently being investigated

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.