



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James W. Hamilton, CPA Auditor-Controller • Treasurer-Tax Collector

Lydia J. Corr, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

Whistleblower Hotline Report As of June 30, 2019

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From inception in November 2013 through June 30, 2019 the Whistleblower Hotline received 182 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

13 complaints were received in the Fiscal Year 2018-19 4th quarter ending June 30, 2019.

The 4th quarter reports received related to the following categories:

- Violation of Federal/State/County Regulation (6)
- Employee Misconduct (1)
- Conflict of Interest (1)
- Non-County Operations (5)

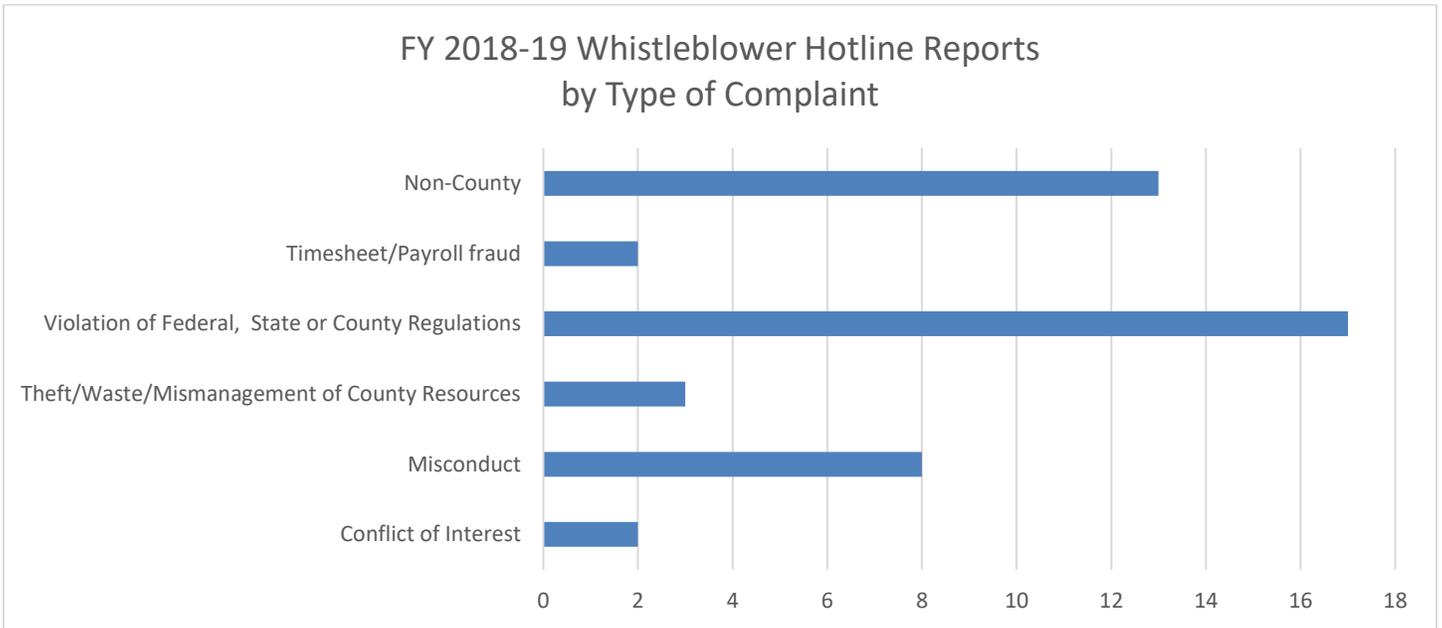
The disposition of the eight County-related cases includes:

- Two were referred to the Department Head
- Two were referred to the Department Head and County Counsel
- Two referred the complainants to the County Planning and Building Code Enforcement webpage
- One was referred to the Department Head and Human Resources
- One contained insufficient evidence to investigate and additional information from the complainant was sought but not received

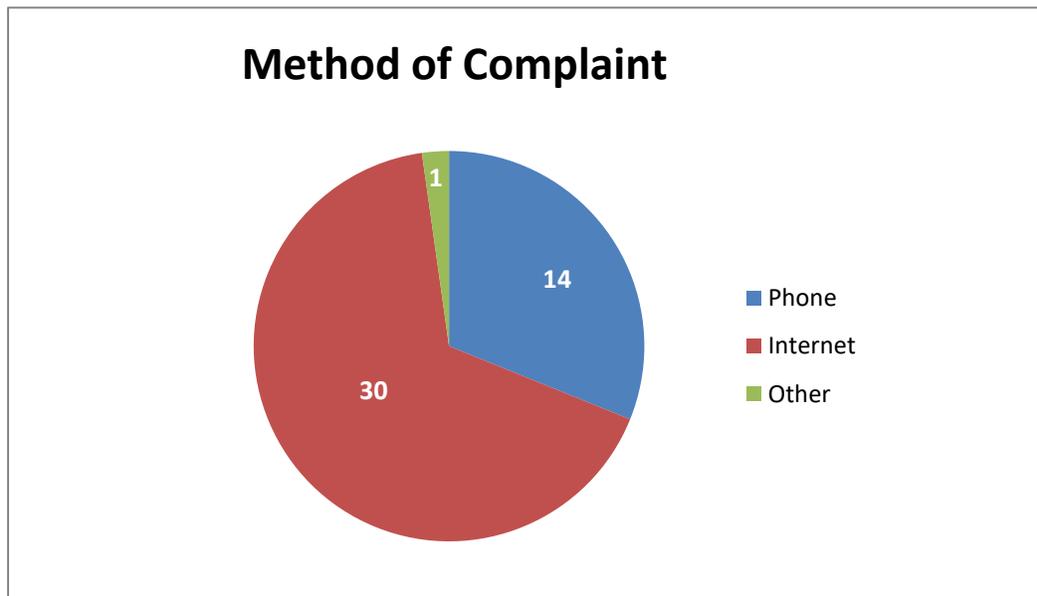
The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.



For Fiscal Year 2018-19, in total, the Whistleblower Hotline received 45 complaints, a 12% increase from the prior year's 40 complaints. The majority of the complaints related to a violation of a Federal, State, or County regulation.



All but one of the complaints were received through the Whistleblower Hotline, with 66% of the complaints being submitted online. 84% of complaints were submitted anonymously.





Most of the complaints were addressed through referral to Department Heads (53%).

