



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James W. Hamilton, CPA Auditor-Controller • Treasurer-Tax Collector

Lydia J. Corr, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

Whistleblower Hotline Report As of December 31, 2019

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and oversight of the County by reporting suspected financial fraud, waste, and abuse. From inception in November 2013 through December 31, 2019, the Whistleblower Hotline received 204 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

7 complaints were received in the 2nd quarter of Fiscal Year 2019-20, ending December 31, 2019.

The 2nd quarter reports received related to the following categories:

- Conflict of Interest (2)
- Employee Misconduct (2)
- Other (2) – information request/submittal to department (1) and non-County agency (1)
- Violation of Federal, State, City, or County Regulation (1)

The disposition of the 7 cases includes:

- Four were related to non-County operations
- Two were referred to Human Resources
- One was referred to the appropriate Department Head

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.