



## COUNTY OF SAN LUIS OBISPO

### AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

**James W. Hamilton, CPA** Auditor-Controller • Treasurer-Tax Collector

**Lydia J. Corr, CPA** Assistant Auditor-Controller • Treasurer-Tax Collector

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## **Whistleblower Hotline Report As of September 30, 2019**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From inception in November 2013 through September 30, 2019, the Whistleblower Hotline received 197 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

15 complaints were received in the Fiscal Year 2019-20 1st quarter ending September 30, 2019.

The 1<sup>st</sup> quarter reports received related to the following categories:

- Violation of Federal, State, City, or County Regulation (7)
- Employee Misconduct (5)
- Other (3) – information requests of departments

The disposition of the 15 cases includes:

- Four were referred to Department Heads
- Four were related to non-County operations
- Three were referred and determined to be unsubstantiated
- Two were referred and determined to be substantiated
- One was referred to the Department Head and Human Resources
- One was referred to Human Resources

Of the substantiated cases, one related to employee misconduct and one related to a business being out of compliance with Environmental Health regulations.

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.