



## COUNTY OF SAN LUIS OBISPO

### AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

**James W. Hamilton, CPA** Auditor-Controller • Treasurer-Tax Collector

**Lydia J. Corr, CPA** Assistant Auditor-Controller • Treasurer-Tax Collector

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## Whistleblower Hotline Report As of March 31, 2021

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and oversight of the County by reporting suspected financial fraud, waste, and abuse. From inception in November 2013 through March 31, 2021, the Whistleblower Hotline received 257 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Division in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

10 reports were received in the 3rd quarter of Fiscal Year 2020-21, ending March 31, 2021.

The 3<sup>rd</sup> quarter reports received related to the following categories:

- Violation of Federal, State, City, or County Regulation (4)
- Timesheet/Payroll Fraud (3)
- Safety/Sanitation (3)

The disposition of the 10 cases includes:

- Three were related to non-County operations and referred to outside agencies
- Two were referred to the appropriate Department Head
- Two were referred to Human Resources
- One is currently under investigation by the Auditor-Controller-Treasurer-Tax Collector
- One was rescinded by the complainant
- One is still in review and pending final disposition

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.